

Client Onboarding Done Right.

Eliminate friction, ease traditional pain points, and improve the client experience.

What is the Guided Paperwork Solution (GPS)?

GPS automates and digitizes the process of onboarding a new account and submitting a service request for existing accounts.

Today, the paperwork process is often tedious, manual, and error-prone. Clients and advisors must obtain completed paperwork, sign the documents, and email the completed package back to TPFG. It can be disruptive to both advisors and clients.

Our GPS solution will turbocharge that entire process, allowing advisors and clients to answer basic questions online, and then all requisite paperwork will be automatically completed, digitally signed (where allowed), and automatically submitted to TPFG for processing upon completion.

Key Features:

Advisors and clients can access, complete, sign, and submit completed new account and service request forms directly to TPFG via the custom portal. This should save advisors significant time completing paperwork and avoiding NIGOs.

Adaptive Digital Interview

- Clients will only be asked questions relevant to their specific application.

Single Signing Package

- All TPFG and Custodian forms contained in one package.

Smart Form Fields

- Field validations are in place throughout the digital application to ensure all forms are completed accurately.

Application Dashboard

- View your in-progress applications in a centralized dashboard.

eSignature and Wet Signature Option

- Use the 'Print & Sign' option to print, sign and upload any documents not eligible for eSignature.

THE PACIFIC FINANCIAL GROUP

GPS
GUIDED PAPERWORK SOLUTION

Contact:
National Sales: 866-583-8734
Email: NationalAccounts@tpfg.com

A Highly Secure Platform You Can Trust.



What is Behind Guided Paperwork Solution (GPS) Security?

Within GPS, we have built an Information Security Management Framework that helps ensure you are confident your data is safe and protected against malicious attack. We have built security to safeguard your most valuable asset, your data.

We continually assess risk and improve security by upholding the confidentiality, integrity, and availability triad of the service. We regularly review and update information security policies, carry out internal information security training, perform application and network security testing, monitor compliance with information security policies, and conduct internal and external risk assessment.

Security Features:

Advisors and clients can access, complete, sign, and submit completed new account and service request forms directly to TPFG via the custom portal. GPS services confidently, 24 /7, on an efficient and compliant digital platform:

Supported Multi-Factor Authentication (MFA) ID Verification Methods

MFA adds an additional layer of security against account compromised attacks, making it significantly more difficult for data to be accessed by criminals:

- Email
- SMS (text)

Data Encryption

Encryption serves as the last and strongest line of defense of our security strategy. We use encryption to safeguard your data and help you maintain control over it:

- TLS with up to AES-256 encryption in transit
- Data at rest is protected by using FIPS140-2 standards compliant encryption
- SOC1 and SOC2 Compliant
- ISO/IEC 27001 Certified

Disclosure: Advisory services provided by The Pacific Financial Group, Inc. ("TPFG"), a Registered Investment Advisor. The information in this document is for informational purposes only and should not be relied on or deemed the provision of tax, legal, accounting or investment advice.

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Email: NationalAccounts@tpfg.com